

Outline of Presentation

- Some Background
- Chain of clocks
- Mathematical Model for analysis
- ► Frequency model for the oscillator
- Noise Generation of Phy_layer clock with this oscillator
- Some results for the chain of clocks (additional results provided in back-up slides):
 - Phy_Layer TDEV, MTIE, and max_frequency_deviation
 - Packet Layer (time layer): MaxTE, MTIE
- Concluding Remarks



Some Background

- Motivations for this study:
 - Establish a suitable methodology for developing the wander generation TDEV mask for the oscillator when used in a 5G Front-haul
 - Provide a rapid (not time-consuming) approach to estimate timing behavior
- The underlying premise:
 - Analytical approach to evaluation of clock performance in a chain of clocks using a frequency domain approach to estimate standard deviation
 - Other Metrics (MTIE, max|TE|) involving "peak" and "maximum" estimated as G times standard deviation (G is about 4.0 to 8.0) derived from spectrum
 - All noise sources are uncorrelated so that they add in power (variance)
 - Frequency offsets, constant Time Error (cTE), asymmetry effects, deterministic signals, temperature effects, and time-varying phenomena are not included here



Chain of clocks (Fronthaul, C-RAN)



- Timing chain for Fronthaul/C-RAN likely to be less than 5 boundary clocks between Master (Edge GrandMaster or BBU) and Slave (Small Cell / RRH)
- Slaves are likely to be in "clusters" homing into common Masters...the cTE (constant Time Error) of Master can be considered "common mode"
- Assumption here: Master has the timing performance of a PRTC-A and provides the source of both the packet layer timing as well as the physical layer timing
- Each BC has a hybrid architecture with physical layer feeding the packet layer in each clock

Mathematical model for analysis



Analysis is done in frequency domain

Mathematical formulation

Assumed packet rate = 16 packets/sec --- sampling rate f_0 = 16Hz; sampling interval τ_0 = 0.0625s

$$\sigma_x^2(\tau = n \cdot \tau_0) = G(n) \cdot \int_0^{0.5 \cdot f_0} S_x(f) \cdot \frac{(\sin(n\pi f \tau_0)^6}{(\sin(\pi f \tau_0)^2)} df \quad \text{TVAR from spectrum}$$

$$\sigma_\xi^2(n) \approx \int_0^{f_0} S_x(f) \cdot 4 \cdot (\sin(n\pi f \tau_0)^2) df \quad \text{MTIE from spectrum}$$

$$M_x(\tau) \leq 7 \cdot \sigma_\xi(n) \quad \text{MTIE from spectrum}$$

$$maxTE = 4.0 \sigma_{x}(0)$$

$$\sigma_{x}^{2} = \int S_{x}(f)df$$

$$\{ maximum = 4 \cdot sigma \& 8 \cdot sigma considered \}$$



Oscillator Model



- Mixture of white FM (low tau) and flicker FM (larger tau)
- "knee" is the cross-over point, assumed to be 1s here
- Oscillator quality represented by TDEV(τ = knee)
- o "Mask" = straight line view
- TDEV for very low observation intervals (<< knee) represents phase-noise (not considered here)
- Oscillator spectrum constructed as low-pass-filtered flicker FM and high-pass filtered white FM and resulting TDEV shown

Note: white FM TDEV follows $\tau^{0.5}$; flicker FM TDEV follows $\tau^{1.0}$; range of τ considered is small enough to ignore higher order noise types.

Assumption: TDEV(@1s) = 0.1ns



Phy_Layer Noise Generation - TDEV



- Expected noise generation of phy_layer clock using oscillator with assumed performance for various bandwidths
- ► Enhanced EEC bandwidth is specified as between 1Hz and 3Hz
- With this oscillator even bandwidth as low as 0.05Hz is compliant

Phy_Layer Noise Generation - MTIE



Note: Even if the clock noise generation satisfies the required TDEV (mask) it could violate the required MTIE mask, even with the widest bandwidth (3Hz). This is a known effect. Note: MTIE calculation here is conservative.

- Expected noise generation of phy_layer clock using oscillator with assumed performance for various bandwidths
- ► Enhanced EEC bandwidth is specified as between 1Hz and 3Hz
- With this oscillator even bandwidth as low as 0.05Hz is compliant

PRTC-A Wander Generation TDEV Mask

$$S_{\chi}(f) = \left(\frac{G}{f} \cdot (a_1 \cdot H_1(f) + a_2 \cdot H_2(f))\right) \cdot C(f)$$

- $H_1(f)$ is a 2nd-order high-pass filter with 3-dB frequency of ~0.002Hz and $a_1 = 3.0^2$;
- $H_2(f)$ is a 3rd-order low-pass filter with 3-dB frequency of ~0.0007Hz and $a_2 = 30.0^2$;
- G ~0.44.







- Expected TDEV of clock chain (phy_layer) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for clock bandwidth of 1.0Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- ► Mask is the network limit for Synchronous Ethernet (see G.8261 Table 5)
- ► Cases with bandwidths of 0.05Hz, 0.1Hz, 3.0Hz provided in back-up

Max. Freq. Deviation (Phy_Layer) - Endpoint



- Expected maximum frequency deviation (phy_layer) as a function of observation interval for various bandwidths (using oscillator with TDEV(@1s) = 0.1ns)
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- Common requirement is "better than 16ppb"
- Phy_layer clock often used to generate RF carrier in end-point (e.g. RRH)





- Expected MTIE of clock chain (phy_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for bandwidth of 1.0Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- ▶ Mask is the network limit for Synchronous Ethernet (see G.8261 Table 4)
- ► Cases with bandwidths of 0.05Hz, 0.1Hz, 3.0Hz provided in back-up



- Expected MTIE of clock chain (PTP_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for phy_layer bandwidth of 3.0Hz and PTP_layer bandwidth of 0.1Hz
- Start-of-chain is PRTC-A for both phy_layer and PTP_layer
- ▶ Mask is the network limit from G.8271.1 (Table 7-1)
- ► Time-stamp granularity of 8ns



- Expected MTIE of clock chain (PTP_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for phy_layer bandwidth of 1.0Hz and PTP_layer bandwidth of 0.1Hz
- Start-of-chain is PRTC-A for both phy_layer and PTP_layer
- ▶ Mask is the network limit from G.8271.1 (Table 7-1)
- ► Time-stamp granularity of 8ns





- Expected MTIE of clock chain (PTP_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for phy_layer bandwidth of 0.05Hz and PTP_layer bandwidth of 0.05Hz
- Start-of-chain is PRTC-A for both phy_layer and PTP_layer
- Mask is the network limit from G.8271.1 (Table 7-1)
- ► Time-stamp granularity of 8ns

Chain Time Error Accumulation

- To see accumulation of time error generated by the chain, the starting point (PRTC-A/T-GM) introduces only time-stamping error
- Physical Layer starts with PRTC-A (essentially G.811) at its limit (TDEV mask)
- ► Time error estimated with 0.1Hz measurement filter
- ► Time-stamp granularity assumed to be 8ns

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► 4 cases considered with different choices of filter bandwidths

	Phy_layer bandwidth	PTP_layer bandwidth
Case #1	3.0 Hz	0.1 Hz
Case #2	1.0 Hz	0.1 Hz
Case #3	0.1 Hz	0.1 Hz
Case #4	0.05 Hz	0.05 Hz

Chain Time Error Accumulation



- Error accumulation shows an interaction between choices of bandwidth
 - Case #3 has 0.1Hz bandwidth for both layers
- ▶ The optimal choice of bandwidths will depend on assumed oscillator performance

Concluding Remarks

- ► The results described here used the frequency-domain method.
 - The frequency-domain method is computationally rapid and can provide results quickly, allowing analysis of several "what if?" scenarios in a timely fashion.
 - Shortcoming: cannot handle time-varying phenomenon
- ► A suitable model for an oscillator (random noise viewpoint):
 - Preferably involves 2 parameters:
 - \circ "knee" : observation interval corresponding to cross-over between white FM and flicker FM

 \circ TDEV(@ τ = "knee")

- A single parameter, namely TDEV(τ = "knee" = 1s), may be adequate if actual knee > 1s.
- The method permits an analysis of the trade-offs between bandwidth and performance metrics
 - Example: to meet the maximum frequency deviation of 16ppb at the endpoint, for a chain starting with a G.811 quality clock, a bandwidth of the order of 0.05Hz is recommended
 - Example: the time error generated by the chain is less with a bandwidth of 0.1Hz than with 0.05Hz.

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Backup Slides

Additional Simulation Results

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- Expected TDEV of clock chain (phy_layer) using oscillator with assumed performance for bandwidth of 3.0Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- Mask is the network limit for Synchronous Ethernet (see G.8261 Table 5)



- Expected TDEV of clock chain (phy_layer) using oscillator with assumed performance for bandwidth of 0.05Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- Mask is the network limit for Synchronous Ethernet (see G.8261 Table 5)



- Expected TDEV of clock chain (phy_layer) using oscillator with assumed performance for bandwidth of 0.1Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- Mask is the network limit for Synchronous Ethernet (see G.8261 Table 5)



- Expected MTIE of clock chain (phy_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for bandwidth of 3.0Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- Mask is the network limit for Synchronous Ethernet (see G.8261 Table 4)



- Expected MTIE of clock chain (phy_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for bandwidth of 0.1Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- Mask is the network limit for Synchronous Ethernet (see G.8261 Table 4)



- Expected MTIE of clock chain (phy_layer) (including endpoint) using oscillator with assumed performance (TDEV(@1s) = 0.1ns) for bandwidth of 0.05Hz
- Start-of-chain is PRTC-A (equivalent of G.811 for phy_layer)
- ▶ Mask is the network limit for Synchronous Ethernet (see G.8261 Table 4)

EEC/eEEC Wander Generation TDEV Mask

$$S_{x}(f) = \left(\frac{G}{f} \cdot (a_{1} \cdot H_{1}(f) + a_{2} \cdot H_{2}(f))\right) \cdot C(f)$$

 $H_1(f)$: 2nd order high-pass filter; $H_2(f)$: 3rd order low-pass filter; (1/f): spectral density shape of flicker phase noise

For eEEC: power-spectral-density = *scale-factor**(EEC_PSD)



scale-factor = α In G.8262.1 (eEEC) the appropriate factor is ~0.2

$$S_{x}(f) = \alpha \cdot \left(\frac{G}{f} \cdot (a_{1} \cdot H_{1}(f) + a_{2} \cdot H_{2}(f))\right) \cdot C(f)$$

ePRTC Wander Generation TDEV Mask

$$S_x(f) = \left(\frac{G}{f}\right) \cdot (1 + 100.0 \cdot H_L(f)) \cdot C(f)$$

- ► G ~0.44.
- $H_L(f)$ is a fourth-order low-pass filter characteristic with 3dB cut-off frequency = 0.000002 Hz.
- ▶ (1/f) : spectral density shape of flicker phase noise





PRTC-B Wander Generation TDEV Mask

$$S_{x}(f) = \left(\frac{G}{f} \cdot (a_{1} \cdot H_{1}(f) + a_{2} \cdot H_{2}(f))\right) \cdot C(f)$$

- $H_1(f)$ is a 2nd-order high-pass filter with 3-dB frequency of ~0.001Hz and $a_1 = 1.0^2$;
- $H_2(f)$ is a 3rd-order low-pass filter with 3-dB frequency of ~0.0012Hz and $a_2 = 5.05^2$;
- G ~0.44.





Thank You! kshenoi@qulsar.com

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